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Attention:	MAIL STOP AMENDMENT	Fax:	(571) 273-8300
	Group Art Unit: 2611		
	Examiner: PATEL, Jayanti K.		
UNITED ST	TATES PATENT AND TRADEMARK OFFICE	Phone:	(571) 272-2988
Pages:	Cover + 2 + 1 = 4	Date:	February 22, 2007
From:	Georgann S. Grunebach	Fax:	(310) 964-0941
	Assistant General Counsel	Phone:	(310) 964-4615

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# CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

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S. Grunebach, Reg. No. 33,179 Georgani (Printed) ame of Person Signing Certificate)

February 22, 2007 (Date of Signature)

Telephone No. (310) 964-4618

Attention: Commissioner for Patents

Attorney Docket No. PD-201014

Please find attached Re:

Serial No.:

10/532,619

Filing Date: 04-25-2005

- INFORMATION DISCLOSURE STATEMENT (2 pages)
- SUBSTITUTE PTO FORM 1449 (1 page)

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# FEB 2 2 2007

Patent PD-201014 Customer No. 020991

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen

10/532,619 371(c) Date: April 25, 2005

For:

Serial No.:

MAXIMIZING POWER AND

SPECTRAL EFFICIENCIES FOR LAYERED AND CONVENTIONAL

**MODULATIONS** 

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Georgárin S. Grunebach

Typed or printed name of person signing certificate

Group Art Unit: 2611

Examiner:

PATEL, Jayanti K.

Date: February 22, 2007

# INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR 1.56.

It is respectfully requested that the references be considered and made of record in the application identified above.

This statement should be considered because it is being submitted before the mailing of a first Office action on the merits under 37 C.F.R. §1.97(b)(3). Accordingly, it is submitted that no fee is due in this matter.

However, if it is determined that any appropriate fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-0383 of Hughes Electronics Corporation, El Segundo, California.

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

3у: \_с

Georgann S. Grunebach, Reg. No. 33,179

The DIRECTV Group, Inc. CA/LA1/A109 P. O. Box 956 El Segundo, CA 90245

Telephone No. (310) 964-4615

February 22, 2007

FEB 2 2 2007

Substitute for form 1449/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application Number	10/532,619	
STATEMENT BY APPLICANT	Filing Date	April 25, 2005	
(Use as many sheets as necessary)	First Named Inventor	Ernest Chen	
(Ogg as many anothe de nocessary)	Art Unit	2611	
	Examiner Name	PATEL, Jayanti K.	
Date: February 22, 2007			
Sheet 1 of 1	Attorney Docket Number	201014	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number  Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,522,683	02-2003	Smee et al.	
	2	US-7,161,931	01-2007	Li et al.	
_	3	US-6,956,841	10-2005	Stahle et al.	
<del></del>	4	US-6,049,566	04-2000	Saunders et al.	
	5	US-2002/0136327	09-2002	El-Gamal et al.	
	6	US-7,154,958	12-2006	Dabak et al.	
	7	US-2003/0194022	10-2003	Hammons et al.	
	8	US-2004/0146014	07-2004	Hammons et al.	
	9	US-2002/0051435	05-2002	Giallorenzi et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Çite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
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		·	-			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.